

Energy Harvesting: advanced MEMS to NEMS

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Francesco Cottone

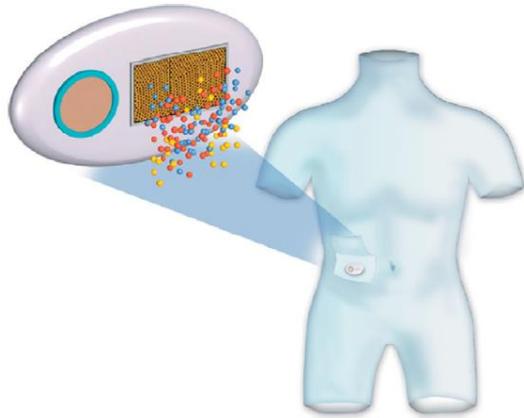
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Outline

- ▶ MEMS- to NEMS-based energy harvesters and potential applications
- ▶ Micro/nanoscale energy harvesters: scaling issues
- ▶ Nonlinear and frequency-up conversion approaches
- ▶ Conclusions

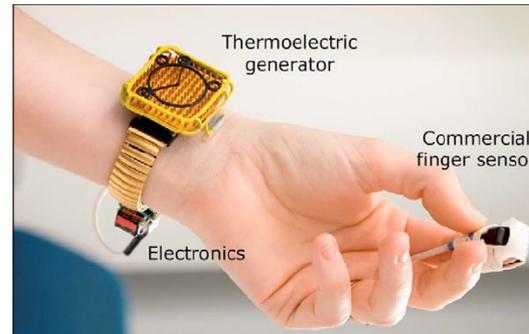
MEMS- to NEMS-based harvesting devices and potential applications

MEMS-based drug delivery systems



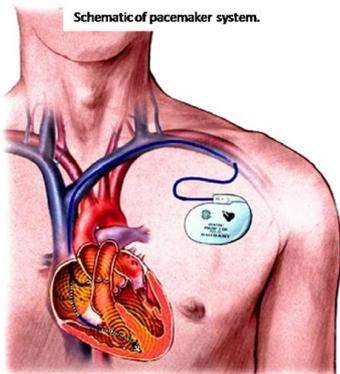
Bohm S. et al. 2000

Body-powered oximeter



Leonov, V., & Vullers, R. J. (2009).

Heart powered pacemaker

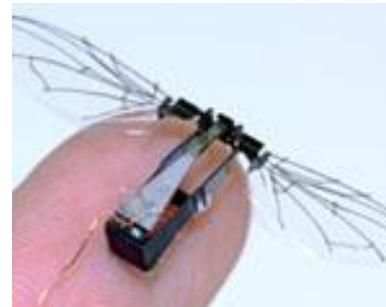


Pacemaker consumption is around **40uW**.

Beating heart could produce **200uW** of power from heat differentials, physiological pressures, and flows and movements, such as blood flow

D. Tran, Stanford Univ. 2007

Micro-robot for remote monitoring



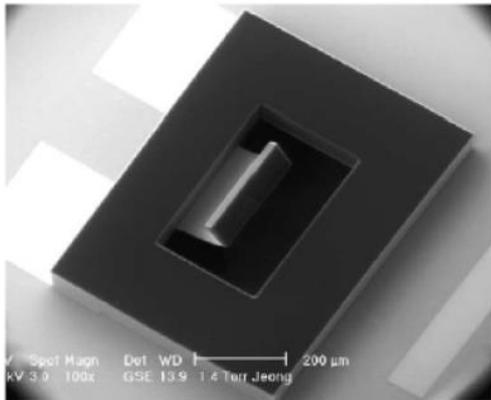
A 1mm-20mg nanorobot flying at 1 m/s requires $F \sim 4$ microN and **P ~ 41 uW**.

The input power for a 20mg robotic fly is **10 – 100 uW** depending on many factors: air friction, aerodynamic efficiency etc.

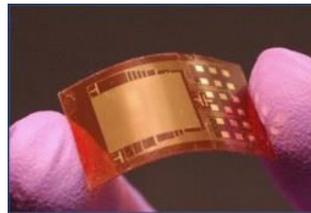
A. Freitas Jr., Nanomedicine, Landes Bioscience, 1999

MEMS- to NEMS-based harvesting devices and potential applications

Piezoelectric



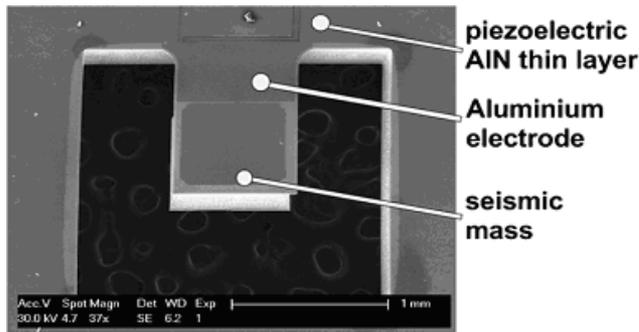
Jeon et al. 2005



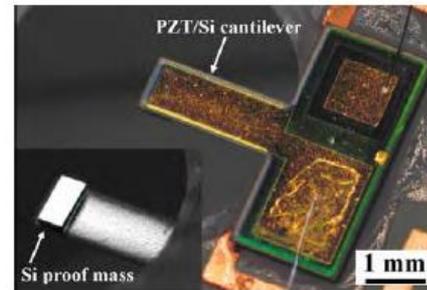
ZnO nanowires
Wang, Georgia Tech
(2005)



Chang, MIT 2013



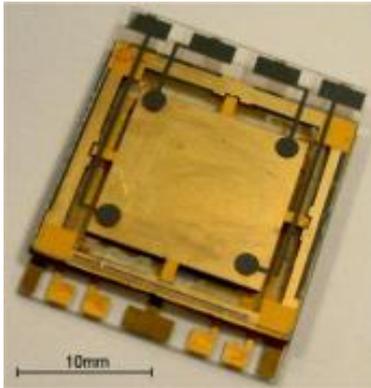
M. Marzencki 2008 - TIMA Lab (France)



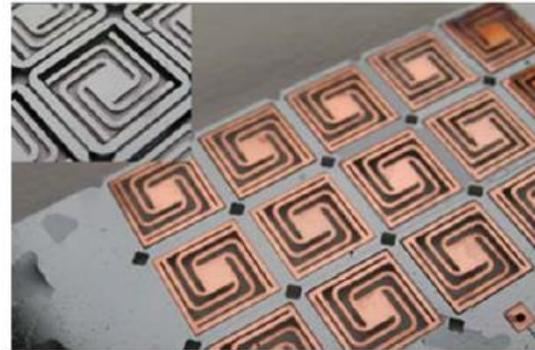
D. Briand, EPFL 2010

MEMS- to NEMS-based harvesting devices and potential applications

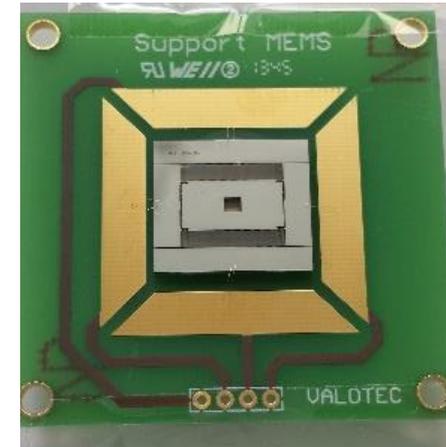
Electrostatic and Electromagnetic



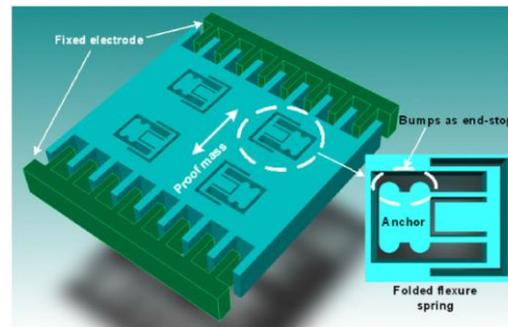
Mitcheson 2005 (UK)
Electrostatic generator 20Hz
2.5uW @ 1g



EM generator, Miao et al. 2006



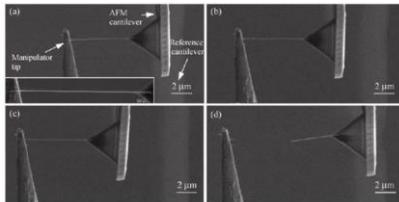
Cottone F., Basset P.
ESIEE Paris 2013-14



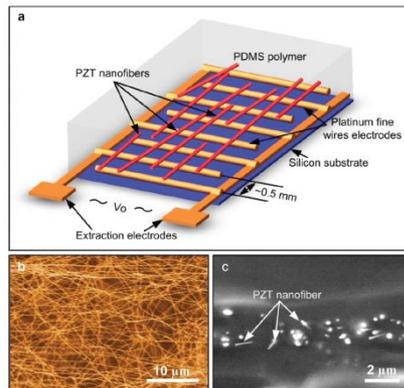
Le and Halvorsen, 2012

MEMS- to NEMS-based harvesting devices and potential applications

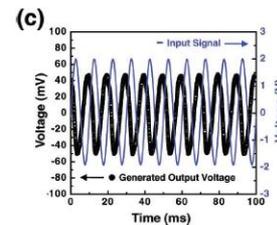
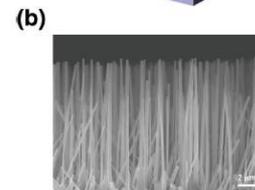
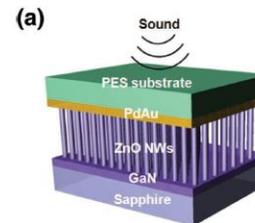
NEMS-based energy harvesting devices



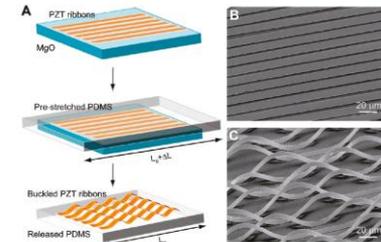
ZnO nanowires - Xu F. (2010) tensile stress test



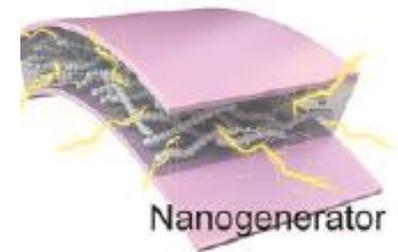
Chen, X. et al (2010) Nano letters 0,6 V - 30nW



Cha, S. (2010). Sound-driven piezoelectric nanowire-based nanogenerators. Advanced materials



Qi, Yi, 2011 Nano Letters PZT Nanoribbons



Virus-directed BaTiO3 nanogenerator Jeong, C. et al (2013). ACS nano,

2005



2015

Time

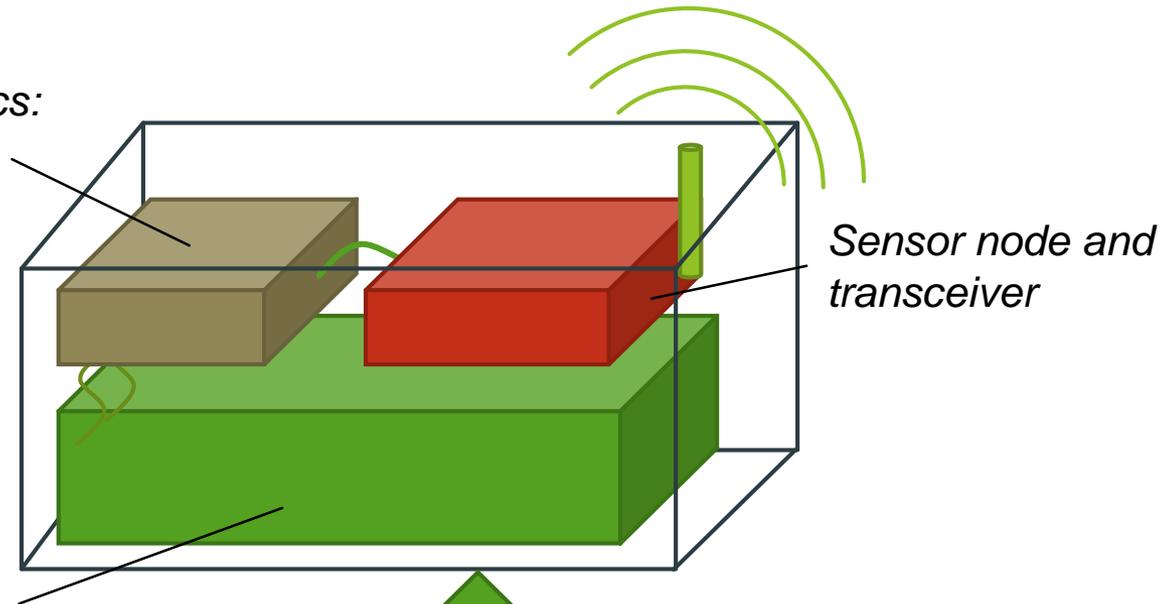
Microscale kinetic harvesters: scaling issues

Objective :

100 $\mu\text{W}/\text{cm}^3$ of power density

Temporary storage and conditioning electronics:

- *Ultra capacitors*
- *Rechargeable Batteries*

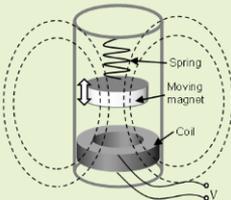


Energy harvesting system:

- *piezoelectric,*
- *electromagnetic,*
- *electrostatic,*
- *magnetostrictive*

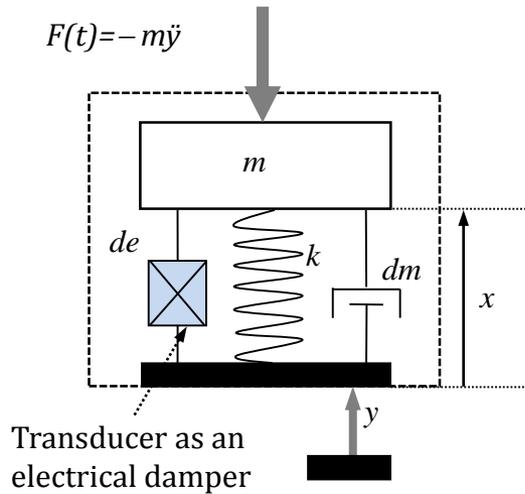
Mechanical vibrations

Who's the best for MEMS/NEMS ?

Technique	Advantages 😊	Drawbacks 😞
Piezoelectric 	<ul style="list-style-type: none">• high output voltages• well adapted for miniaturization• high coupling in single crystal• no external voltage source needed	<ul style="list-style-type: none">• expensive• small coupling for piezoelectric thin films• large load optimal impedance required ($M\Omega$)• Fatigue effect
Electrostatic 	<ul style="list-style-type: none">• suited for MEMS integration• good output voltage (2-10V)• possibility of tuning electromechanical coupling• Long-lasting	<ul style="list-style-type: none">• need of external bias voltage• relatively low power density at small scale
Electromagnetic 	<ul style="list-style-type: none">• good for low frequencies (5-100Hz)• no external voltage source needed• suitable to drive low impedances	<ul style="list-style-type: none">• inefficient at MEMS scales: low magnetic field, micro-magnets manufacturing issues• large mass displacement required.

Microscale kinetic harvesters: scaling issues

First order power calculus with William and Yates model



Motion equation

$$m\ddot{x}(t) + (d_m + d_e)\dot{x}(t) + kx(t) = -m\ddot{y}(t)$$

$$x(t) = \frac{\omega^2}{\sqrt{\left(\frac{k}{m} - \omega^2\right)^2 + \left(\frac{(d_e + d_m)\omega}{m}\right)^2}} Y_0 \sin(\omega t - \phi)$$

setting $d_T = d_m + d_e$ the total damping coefficient, the phase angle ϕ is given by

$$\phi = \tan^{-1}\left(\frac{d_T \omega}{k - \omega^2 m}\right) \quad \text{and the natural frequency} \quad \omega_n = \sqrt{k/m}$$

Inertial force

$$f(t) = -m\ddot{y} = Y_0 \sin(\omega t)$$

Steady state solution

By introducing the damping ratio, namely $\zeta_T = (\zeta_e + \zeta_m) = d_T / 2m\omega_n$, the position transfer function is expressed by

$$H_{xf}(\omega) = \frac{X(\omega)}{Y(\omega)} = \frac{\omega^2}{-\omega^2 + 2i\omega(\zeta_e + \zeta_m)\omega_n + \omega_n^2}$$

Microscale kinetic harvesters: scaling issues

First order power calculus with William and Yates model

The instantaneous dissipated power by electrical damping is given by

$$P(t) = \frac{d}{dt} \int_0^x F(t) dx = \frac{1}{2} d_T \dot{x}^2$$

The velocity is obtained by the first derivative of steady state amplitude

$$\dot{X} = \frac{\omega r^2 Y_0}{\sqrt{(1-r^2)^2 + (2(\zeta_e + \zeta_m)r)^2}},$$

that is

$$P_e = \frac{m \zeta_e \left(\frac{\omega}{\omega_n} \right)^3 \omega^3 Y_0^2}{\left[1 - \left(\frac{\omega}{\omega_n} \right)^2 \right]^2 + \left[2(\zeta_e + \zeta_m) \frac{\omega}{\omega_n} \right]^2}$$

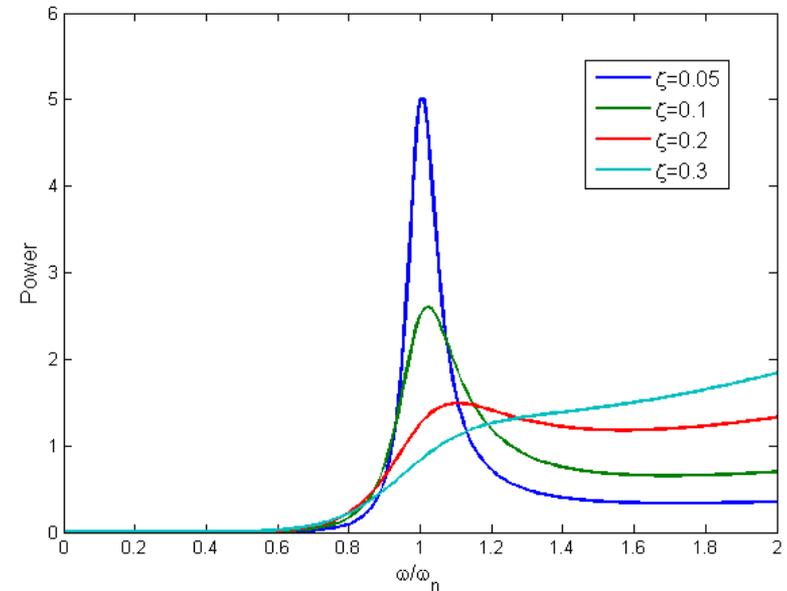
At resonance, that is $\omega = \omega_n$, the maximum power is given by

$$P_e = \frac{m \zeta_e \omega_n^3 Y_0^2}{4(\zeta_e + \zeta_m)^2} = \frac{m^2 d_e \omega_n^4 Y^2}{2(d_e + d_m)^2} \quad \text{or with acceleration amplitude } A_0 = \omega_n^2 Y_0.$$

for a particular transduction mechanism forced at natural frequency ω_n , the power can be maximized from the equation

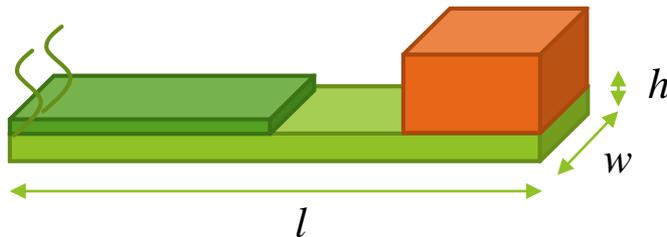
$$P_{el} = \frac{m \zeta_e A^2}{4 \omega_n (\zeta_m + \zeta_e)^2}$$

Max power when the condition $\zeta_e = \zeta_m$ is verified



Microscale kinetic harvesters: scaling issues

PIEZOELECTRIC CANTILEVER



$$\omega_n = 2\pi C_n \sqrt{\frac{E}{\rho}} \frac{h}{l^2}$$

$$k = \xi \frac{Ewh^3}{l^3}$$

Boundary conditions	C1
doubly clamped	1,03
cantilever	0,162

Boundary conditions	Uniform load xsi	Point load xsi
doubly clamped	32	16
cantilever	0,67	0,25

$$m_{eff} = m_{beam} + 0.32m_{tip} = lwh\rho_{si} + 0.32(l/4)^3\rho_{si}$$

$$P_{el} = \frac{m\zeta_e A^2}{4\omega_n(\zeta_m + \zeta_e)^2} = \frac{(lwh\rho_{si} + 0.32(l/4)^3\rho_{mo})}{8\omega_n\zeta_m} A^2 = \frac{(lwh\rho_{si} + 0.32(l/4)^3\rho_{mo})}{16\pi C_n \sqrt{\frac{E}{\rho_{si}}} \frac{h}{l^2} \zeta_m} A^2$$

At max power condition $\zeta_e = \zeta_m$

By assuming

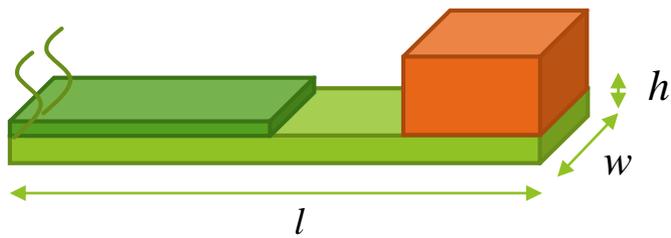
- $A = 1g$
- $\zeta_m = 0.01$
- $h = l/200$
- $w = l/4$



$$P_{el} = \frac{\rho_{si} / 800 + 0.32 \cdot 64 \rho_{mo}}{\frac{16}{200} \pi C_n \sqrt{\frac{E}{\rho_{si}}} \zeta_m} A^2 l^4$$

Microscale kinetic harvesters: scaling issues

PIEZOELECTRIC CANTILEVER



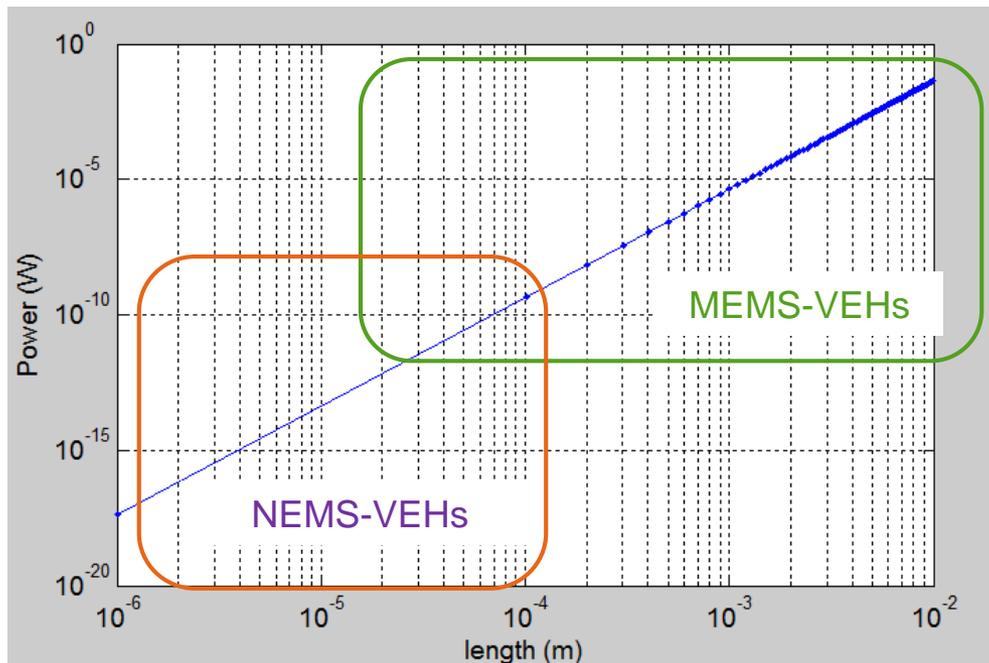
By assuming

$$A = 1g$$

$$\zeta_m = 0.01$$

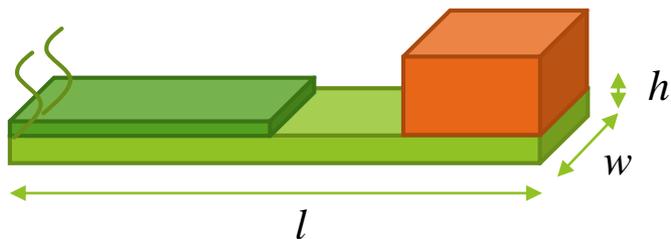
$$h = l / 200$$

$$w = l / 4$$



Microscale kinetic harvesters: scaling issues

PIEZOELECTRIC CANTILEVER



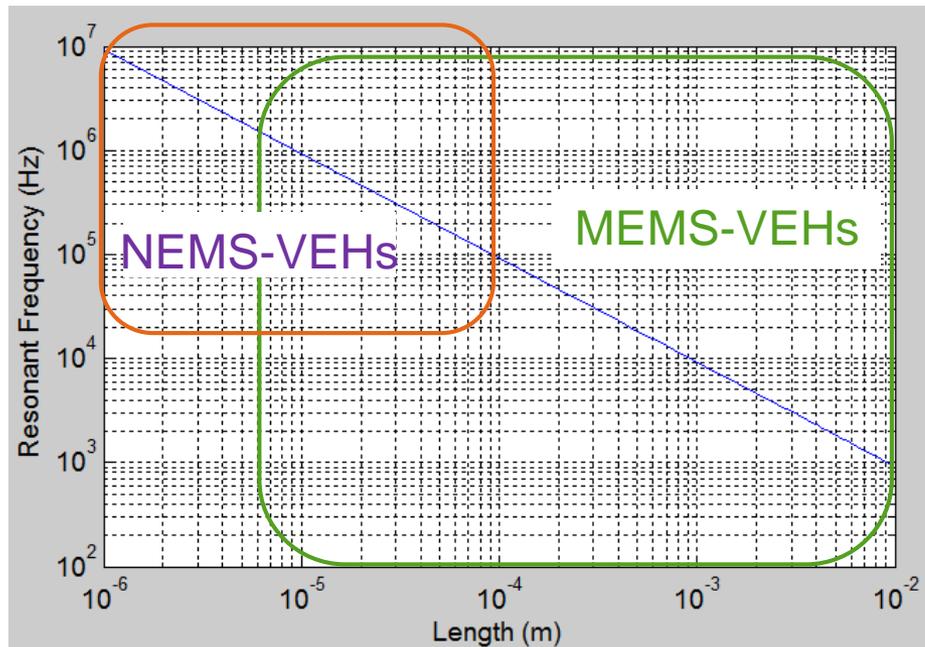
By assuming

$$A = 1g$$

$$\zeta_m = 0.01$$

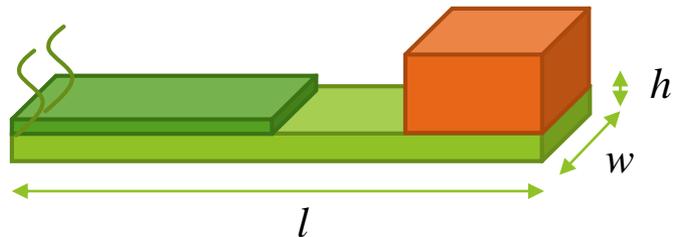
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Microscale kinetic harvesters: scaling issues

PIEZOELECTRIC CANTILEVER



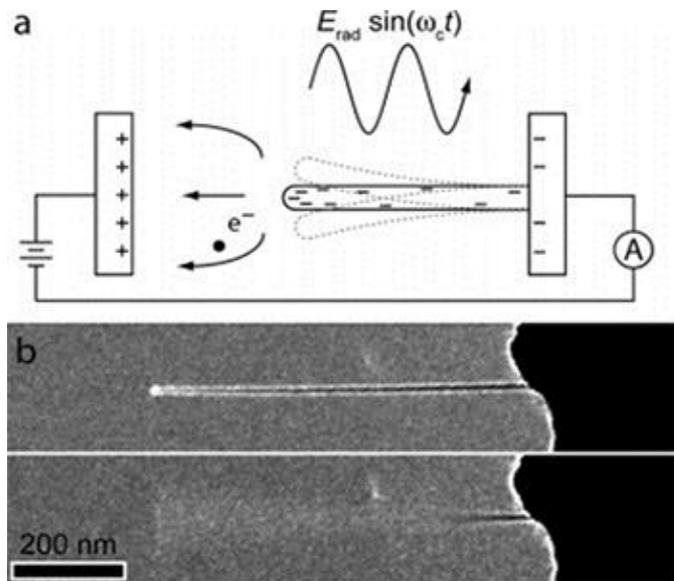
By assuming

$$A = 1g$$

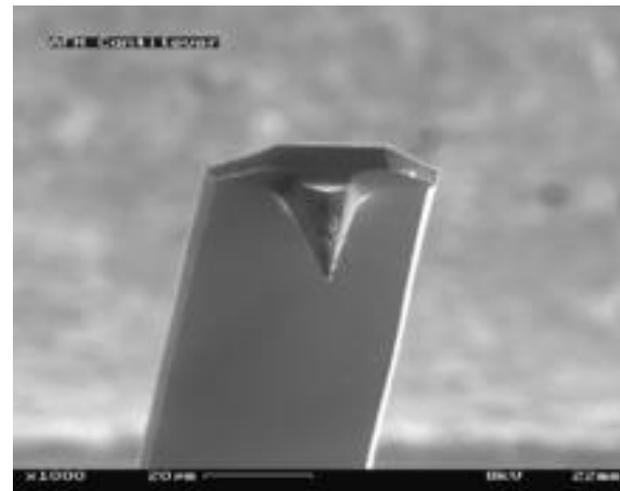
$$\zeta_m = 0.01$$

$$h = l / 200$$

$$w = l / 4$$



Alex Zettl, California Univ. 2010



AFM cantilever

Piezoelectric conversion

Strain-charge

$$\mathbf{S} = \mathbf{s}_E \cdot \mathbf{T} + \mathbf{d}^t \cdot \mathbf{E}$$

$$\mathbf{D} = \mathbf{d} \cdot \mathbf{T} + \boldsymbol{\varepsilon}_T \cdot \mathbf{E}$$

Stress-charge

$$\mathbf{T} = \mathbf{c}_E \cdot \mathbf{S} - \mathbf{e}^t \cdot \mathbf{E}$$

$$\mathbf{D} = \mathbf{e} \cdot \mathbf{S} + \boldsymbol{\varepsilon}_S \cdot \mathbf{E}$$

Characteristic	PZT-5H	BaTiO3	PVDF	AlN (thin film)
d_{33} (10^{-10} C/N)	593	149	-33	5,1
d_{31} (10^{-10} C/N)	-274	78	23	-3,41
k_{33}	0,75	0,48	0,15	0,3
k_{31}	0,39	0,21	0,12	0,23
ε_r	3400	1700	12	10,5

$$k_{31}^2 = \frac{\text{El.energy}}{\text{Mech.energy}} = \frac{d_{31}^2}{s_{11}^E \varepsilon_{33}^T}$$

Electromechanical Coupling is an adimensional factor that provides the effectiveness of a piezoelectric material. IT's defined as the ratio between the mechanical energy converted and the electric energy input or the electric energy converted per mechanical energy input

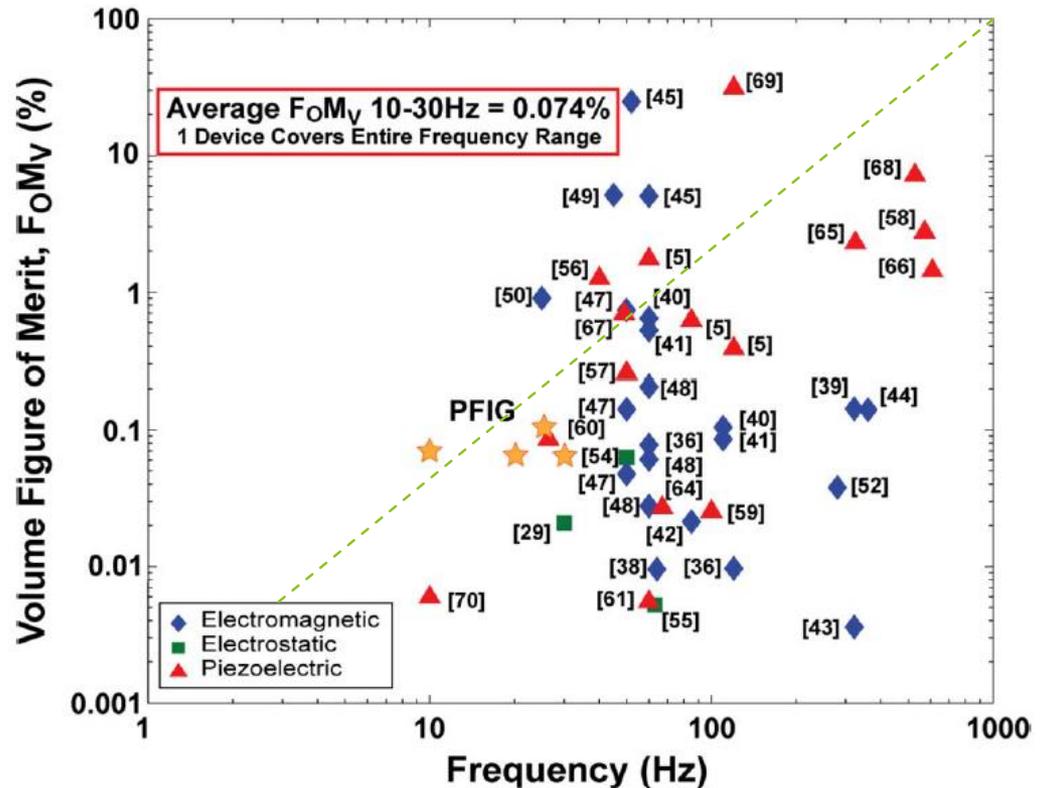
Microscale kinetic harvesters: scaling issues

$$FoM_V = \frac{\text{Useful Power Output}}{\frac{1}{16} Y_0 \rho_{Au} V_0 B^4 \omega^3}$$

Bandwidth figure of merit

$$FoM_{BW} = FoM_V \times \frac{\delta\omega_{1 \text{ dB}}}{\omega}$$

Frequency range within which the output power is less than 1 dB below its maximum value



Galchev et al. (2011)

Mitcheson, P. D., E. M. Yeatman, et al. (2008).

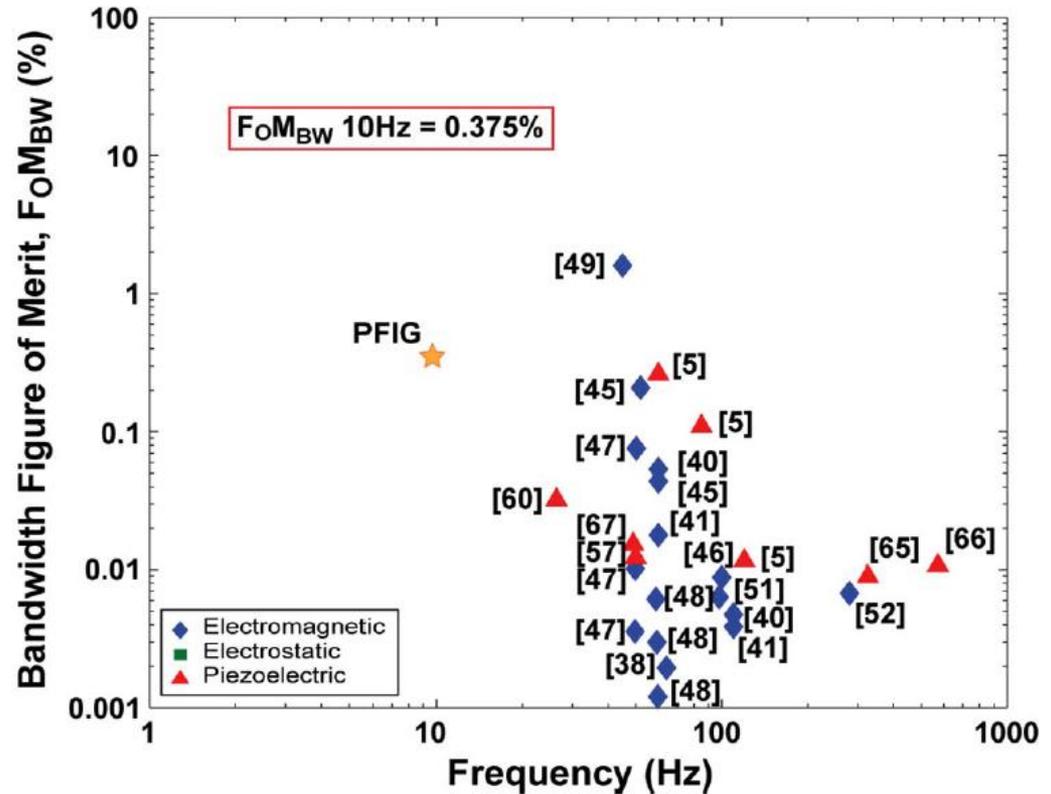
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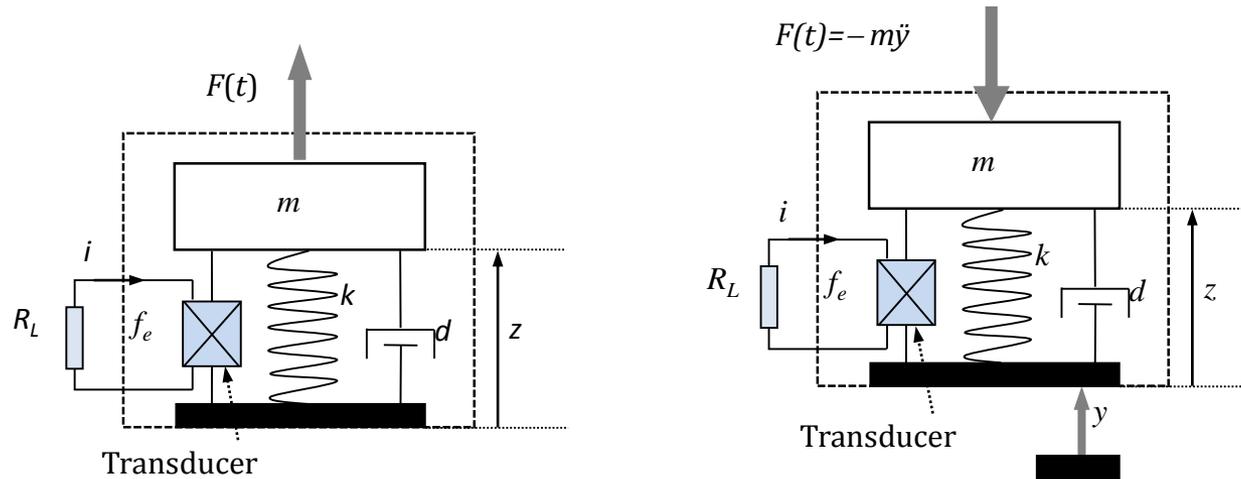
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Microscale kinetic harvesters: scaling issues

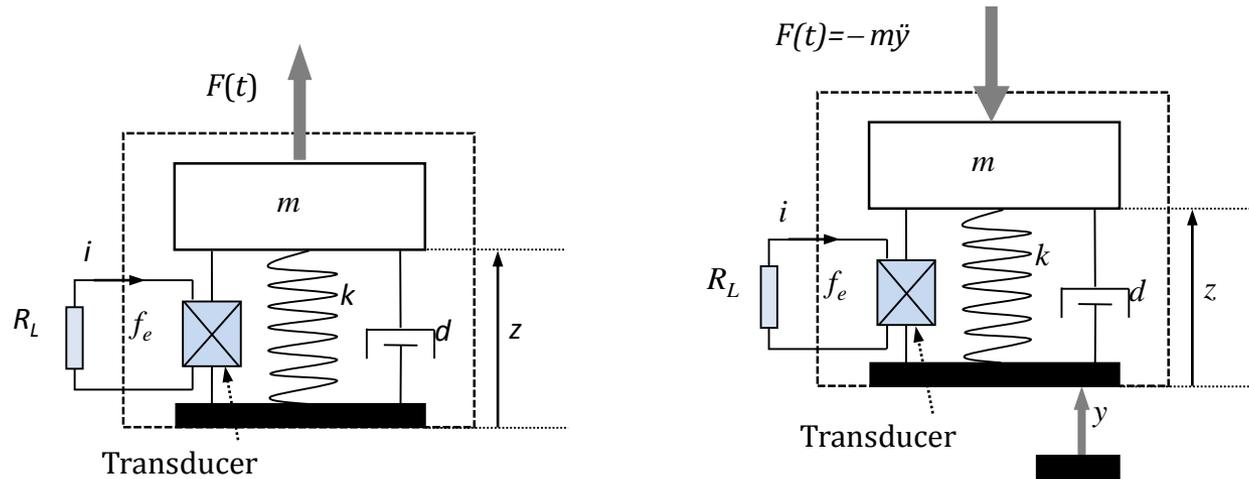


At micro/nano scale direct force generators are much more efficient because not limited by the inertial mass!!!

$$\begin{cases} m\ddot{z} + d\dot{z} + \frac{dU(z)}{dz} + \alpha V_L = F(t) \\ \dot{V}_L + (\omega_c + \omega_i)V_L = \lambda\omega_c\dot{z} \end{cases}$$

$$\begin{cases} m\ddot{z} + d\dot{z} + \frac{dU(z)}{dz} + \alpha V_L = -m\ddot{y} \\ \dot{V}_L + (\omega_c + \omega_i)V_L = \lambda\omega_c\dot{z} \end{cases}$$

Microscale kinetic harvesters: scaling issues



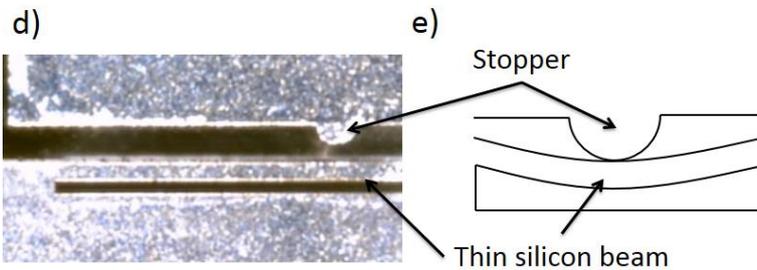
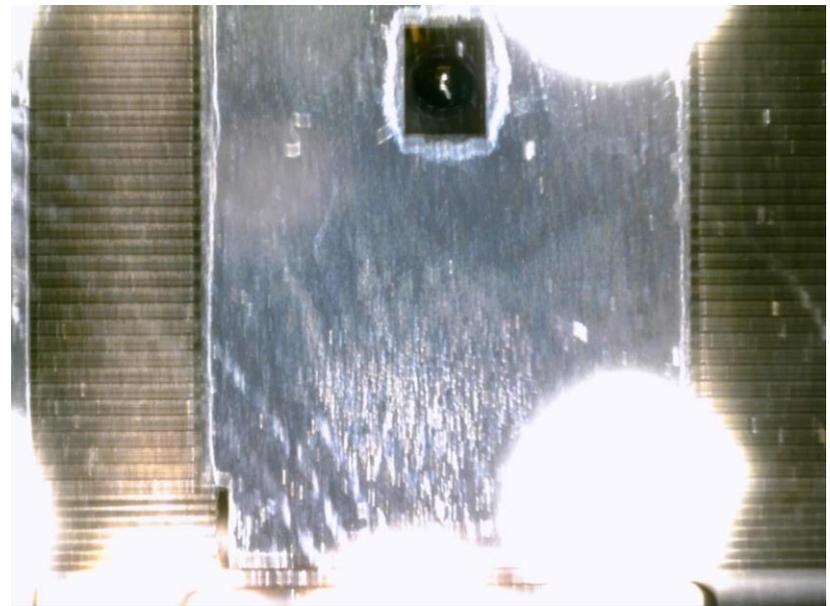
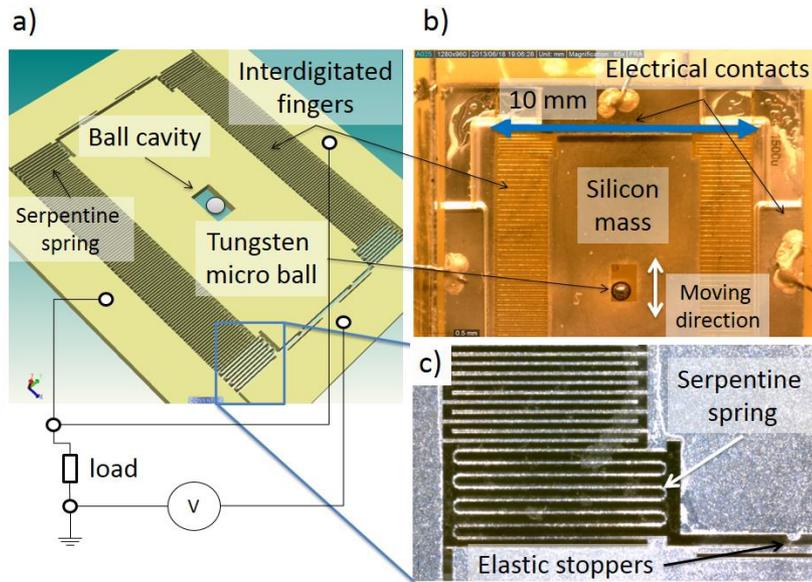
Power fluxes

$$m\ddot{z}z + d\dot{z}^2 + \frac{dU(z)}{dz} \dot{z} + \alpha V_L \dot{z} = F(t) \dot{z}$$

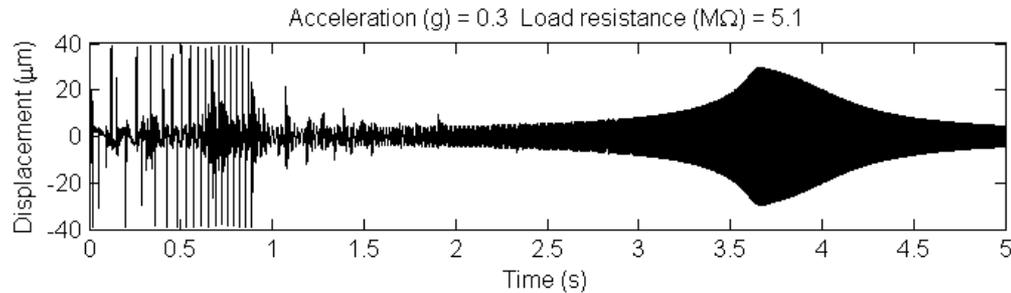
$$P_m(t) = F(t) \cdot \dot{z}(t)$$

$$P_m(t) = -m\ddot{y} \cdot \dot{z} = -\rho l^3 \cdot \dot{z}$$

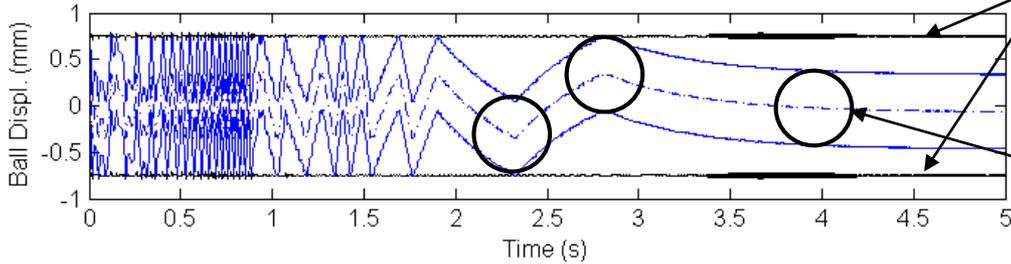
Nonlinear MEMS electrostatic kinetic energy harvester



Electrostatic generators

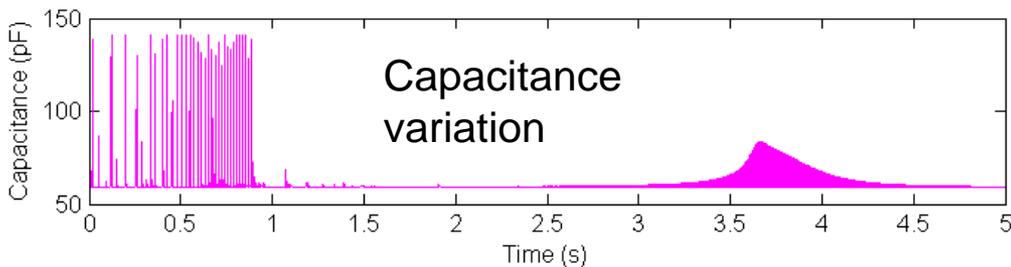


Silicon mass displacement

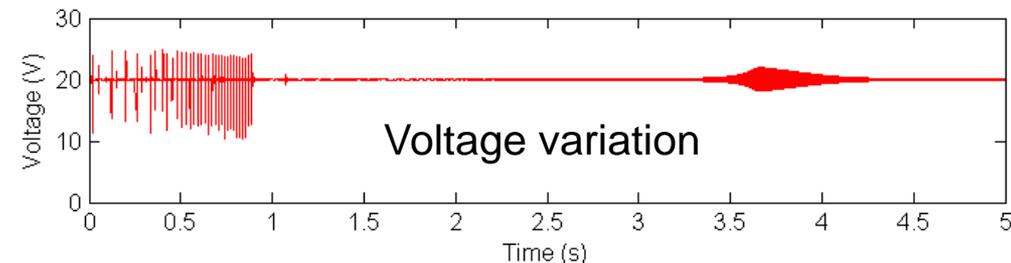


Inner walls of the cavity

Microball displacement



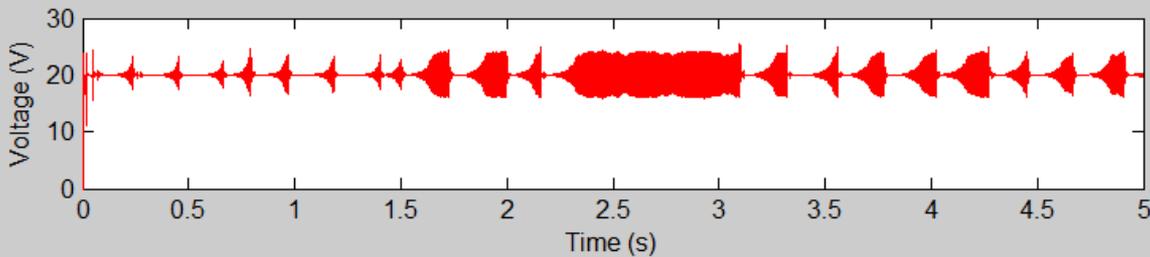
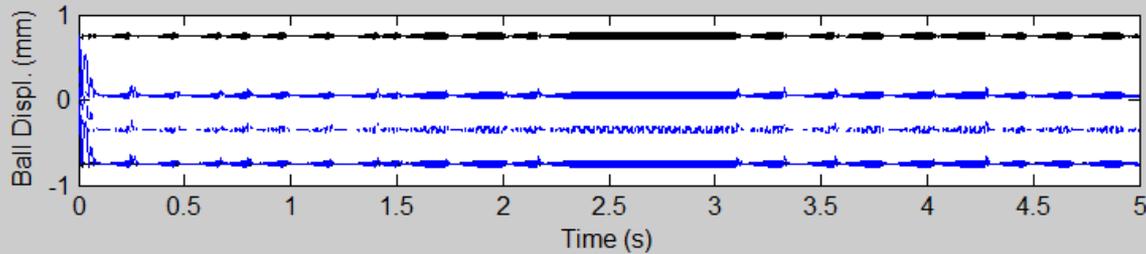
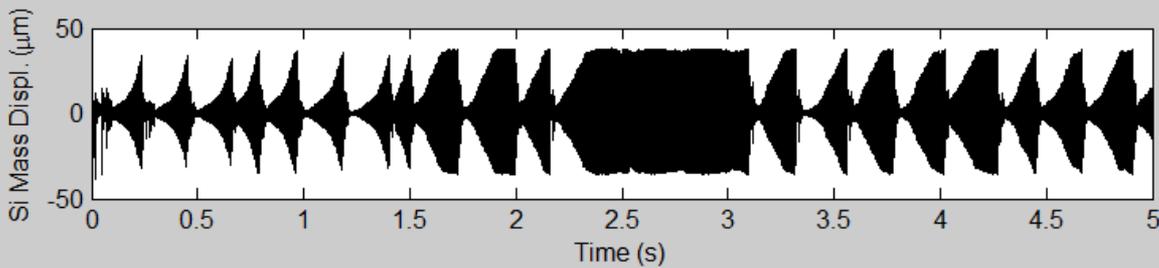
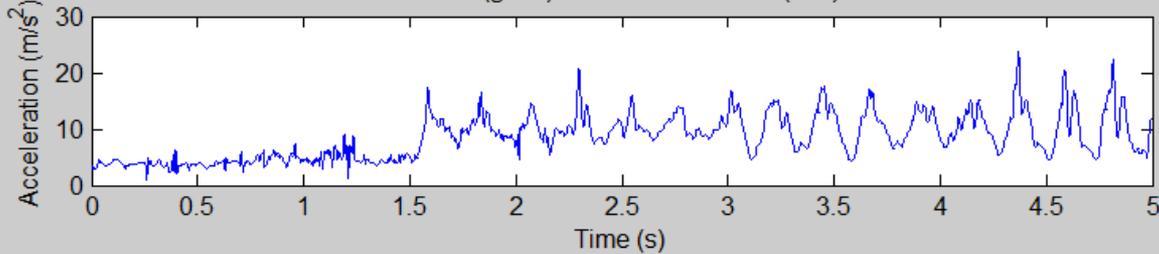
Sine sweeping from 1 to 200 Hz within 5 seconds of time.



At low frequency the ball transfer its kinetic energy to the oscillating silicon mass throughout impacts with inner walls of the cavity. After the impact the mass resonate at its natural frequency (163 Hz) and this energy is converted by the coupling with electrostatic transducer.

Electrostatic generators

Acceleration (grms) = 0.3976 Load res. (M Ω) = 5.1



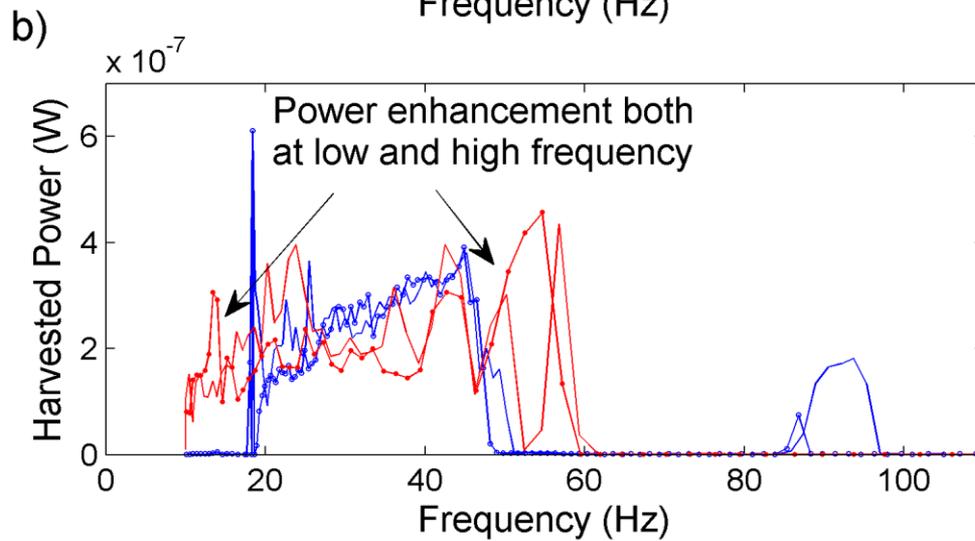
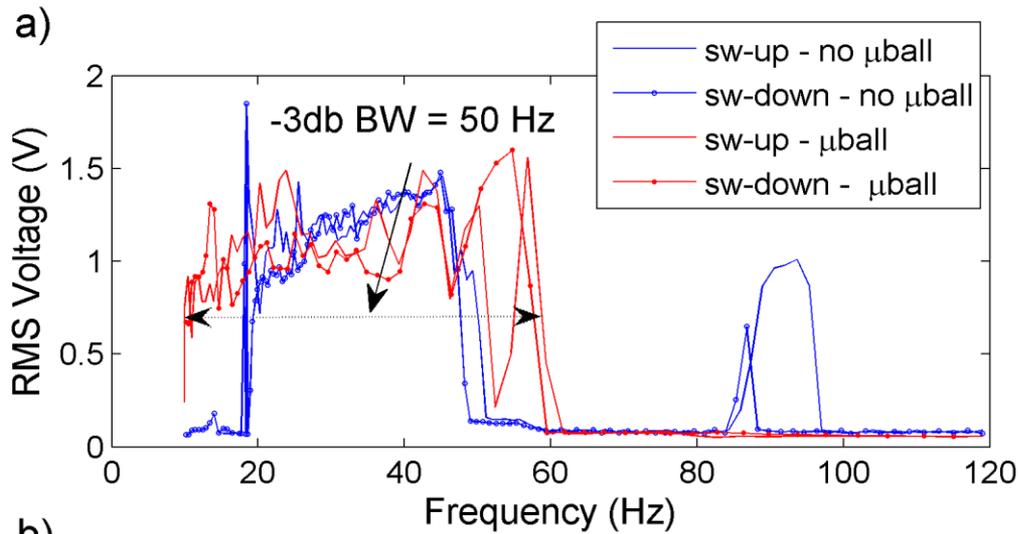
INPUT SIGNAL: walking man

RMS acceleration: 0.39 grms

Generated Power: 1.34 μW

Bias voltage: 20 V

MEMS direction: X



F. Cottone et al., 2014 IEEE 27th Int. Conf. Micro Electro Mech. Syst, 2014.

Conclusions

- Many potential applications are waiting for powerful MEMS/NEMS harvesting system to enable self-powering features
- Inertial vibration energy harvesters are very limited at small scale
-> direct force piezoelectric/electrostatic devices are more efficient at nanoscale
- Design challenges
 - Materials with high electromechanical coupling,
 - Cheap miniaturization/fabrication processes
 - Very efficient conditioning electronics
- In general the specific application decides if one or many micro-VEH are the best choice with respect to one macro-scale VEH

Thank you

